

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| UBS Securities LLC (UBSS) | 0.70 | 0.67 | 0.00 | 0.00 | 0.81 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

Citadel Securities LLC is an execution venue utilized by both AGP and our Clearing Firm to execute orders. AGP receives payment from Citadel Securities LLC for directing order flow to this venue in the amount of \$0.001 per share. AGP does not receive payment from Citadel Securities LLC when our clearing firm directs order flow to this venue.

Virtu Americas (NITE) (NITE):

VRTU Americas LLC is an execution venue utilized by both AGP and our Clearing Firm to execute orders AGP receives payment from VRTU Americas LLC for directing order flow to this venue in the amount of \$0.0015 per share. AGP does not receive payment from VRTU Americas LLC when our clearing firm directs order flow to this venue.

G1 Execution Services, LLC (ETMM):

G1 Execution Services LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from G1 Execution Services LLC when our clearing firm directs order flow to this venue.

Jane Street Capital, LLC. (JNST):

Jane Street Capital LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from Jane Street Capital LLC when our clearing firm directs order flow to this venue.

CBOE EDGX (EDGX):

CBOE EDGX US is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from CBOE EDGX US when our clearing firm directs order flow to this venue.

RBC Capital Markets Corporatio (DAIN):

RBC Capital Markets Corporation is an execution venue utilized by our Clearing Firm. Firm to execute orders. AGP does not receive payment from RBC Capital Markets Corporation when our clearing firm directs order flow to this venue.

Nasdaq Execution Services, LLC (INET):

NASDAQ Execution Services LLC is an execution venue utilized by our Clearing Firm and Speedroute (Speedroute is a routing venue used by AGP/Alliance Global Partners to execute orders AGP receives payment for order flow in the amount of \$0.0003 per share plus or minus any exchange rebates/fees incurred from Exchanges used by Speedroute) to execute orders. AGP does not receive payment from NASDAQ Execution Services LLC when our clearing firm directs order flow to this venue. AGP does receive payment from Speedroute based on orders sent to this execution venue.

New York Stock Exchange (NYSE):

New York Stock Exchange is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from New York Stock Exchange when our clearing firm directs order flow to this venue.

MEMX (MEMX):

MEMX EXECUTION SERVICES LLC is an execution venue utilized by our Clearing Firm to execute orders AGP does not receive payment from MEMX EXECUTION SERVICES LLC when our clearing firm directs order flow to this venue

STATE STREET GLOBAL MARKETS, L (PULX):

State Street Global Markets, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from State Street Global Markets, LLC when our clearing firm directs order flow to this venue.

UBS Securities LLC (UBSS):

UBS Securities, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from UBS Securities, LLC when our clearing firm directs order flow to this venue.

October 2022

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 69.78 | 2.44 | 4.86 | 22.93 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 32.17 | 34.16 | 36.14 | 0.00 | 32.48 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Virtu Americas (NITE) (NITE) | 21.84 | 23.45 | 28.92 | 0.61 | 20.67 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 20.69 | 23.07 | 22.89 | 0.00 | 17.59 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Jane Street Capital, LLC. (JNST) | 12.42 | 13.88 | 10.84 | 0.00 | 10.78 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| STATE STREET GLOBAL MARKETS, L (PULX) | 3.71 | 3.16 | 0.00 | 0.00 | 6.55 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| CBOE EDGX (EDGX) | 2.53 | 0.00 | 0.00 | 46.67 | 1.16 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| RBC Capital Markets Corporatio (DAIN) | 1.38 | 0.08 | 0.00 | 0.00 | 5.78 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Nasdaq Execution Services, LLC (INET) | 1.35 | 0.04 | 0.00 | 21.21 | 1.28 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| MEMX (MEMX) | 1.03 | 0.00 | 0.00 | 18.79 | 0.51 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Two Sigma Securities, LLC (SOHO) | 0.85 | 0.84 | 0.00 | 0.00 | 1.16 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| UBS Securities LLC (UBSS) | 0.53 | 0.63 | 1.20 | 0.00 | 0.26 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

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Nasdaq Execution Services, LLC (INET):

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Virtu Americas (NITE) (NITE) | | | | | | | | | | | | | |
| G1 Execution Services, LLC (ETMM) | 22.74 | 23.65 | 33.33 | 0.00 | 23.88 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Jane Street Capital, LLC. (JNST) | 11.82 | 13.74 | 6.67 | 0.00 | 11.10 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| CBOE EDGX (EDGX) | 2.18 | 0.00 | 0.00 | 45.21 | 0.14 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Nasdaq Execution Services, LLC (INET) | 1.61 | 0.00 | 0.00 | 30.14 | 0.42 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| RBC Capital Markets Corporatio (DAIN) | 1.35 | 0.79 | 0.00 | 0.00 | 2.11 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Two Sigma Securities, LLC (SOHO) | 1.03 | 1.32 | 0.00 | 0.00 | 0.84 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| New York Stock Exchange (NYSE) | 0.64 | 0.00 | 0.00 | 12.33 | 0.14 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| MEMX (MEMX) | 0.58 | 0.00 | 0.00 | 12.33 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| STATE STREET GLOBAL MARKETS, L (PULX) | 0.58 | 0.66 | 0.00 | 0.00 | 0.56 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

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RBC Capital Markets Corporation (DAIN):

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Two Sigma Securities, LLC (SOHO):

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| UBS Securities LLC (UBSS) | 0.53 | 0.46 | 2.92 | 0.00 | 0.47 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

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Virtu Americas (NITE) (NITE):

VRTU Americas LLC is an execution venue utilized by both AGP and our Clearing Firm to execute orders AGP receives payment from VRTU Americas LLC for directing order flow to this venue in the amount of \$0.0015 per share. AGP does not receive payment from VRTU Americas LLC when our clearing firm directs order flow to this venue.

G1 Execution Services, LLC (ETMM):

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Jane Street Capital, LLC. (JNST):

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STATE STREET GLOBAL MARKETS, L (PULX):

State Street Global Markets, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from State Street Global Markets, LLC when our clearing firm directs order flow to this venue.

CBOE EDGX (EDGX):

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Nasdaq Execution Services, LLC (INET):

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Two Sigma Securities, LLC (SOHO):

Two Sigma Securities, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from Two Sigma Securities LLC when our clearing firm directs order flow to this venue.

MEMX (MEMX):

MEMX EXECUTION SERVICES LLC is an execution venue utilized by our Clearing Firm to execute orders AGP does not receive payment from MEMX EXECUTION SERVICES LLC when our clearing firm directs order flow to this venue.

RBC Capital Markets Corporatio (DAIN):

RBC Capital Markets Corporation is an execution venue utilized by our Clearing Firm. Firm to execute orders. AGP does not receive payment from RBC Capital Markets Corporation when our clearing firm directs order flow to this venue.

UBS Securities LLC (UBSS):

UBS Securities, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from UBS Securities, LLC when our clearing firm directs order flow to this venue.

November 2022

Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 48.59 | 0.00 | 50.00 | 1.41 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CES (CES1) | 38.98 | 50.58 | 0.00 | 27.68 | 40.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| WOLVERINE EXECUTION SERVICES, (WEXX) | 20.90 | 3.49 | 0.00 | 37.85 | 20.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| GEB (GEB1) | 14.97 | 29.65 | 0.00 | 1.13 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Dash Financial (DFIN) | 11.86 | 10.47 | 0.00 | 12.43 | 40.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Citadel Securities (CDRG) | 7.91 | 0.00 | 0.00 | 15.82 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| MORGAN STANLEY & CO. LLC (MSCO) | 5.08 | 5.81 | 0.00 | 4.52 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Susquehanna Capital Group (SUSQ) | 0.28 | 0.00 | 0.00 | 0.56 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

CES (CES1):

Clearpool Execution Services is a routing venue used by our Clearing Firm AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firm National Financial Services (NFS) for execution AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services nor does it receive payments based on the subsequent routing decisions of NFS

WOLVERINE EXECUTION SERVICES, (WEXX):

Wolverine Execution Services LLC is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

GEB (GEB1):

Global Execution Brokers, LP is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

Dash Financial (DFIN):

Dash Financial Technologies LLC is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

Citadel Securities (CDRG):

Citadel Securities LLC Is an execution venue utilized by both AGP and our Clearing Firm to execute orders. AGP receives payment from Citadel Securities LLC for directing order flow to this venue in the amount of \$0.001 per share. AGP does not receive payment from Citadel Securities LLC when our clearing firm directs order flow to this venue.

MORGAN STANLEY & CO. LLC (MSCO):

Morgan Stanley & Company LLC is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

Susquehanna Capital Group (SUSQ):

Susquehanna Financial Group is a routing venue used by our Clearing Firm AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

December 2022

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
|--|---|---|---|--|

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 47.40 | 1.24 | 4.89 | 46.47 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 32.84 | 33.73 | 35.00 | 1.27 | 35.20 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Virtu Americas (NITE) (NITE) | 24.78 | 27.32 | 20.00 | 0.00 | 24.93 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 22.61 | 23.92 | 25.00 | 0.00 | 23.60 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Jane Street Capital, LLC. (JNST) | 9.91 | 10.46 | 15.00 | 0.00 | 10.27 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| CBOE EDGX (EDGX) | 2.29 | 0.00 | 0.00 | 46.84 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Nasdaq Execution Services, LLC (INET) | 2.23 | 0.00 | 0.00 | 31.65 | 1.47 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| RBC Capital Markets Corporatio (DAIN) | 1.43 | 1.57 | 0.00 | 0.00 | 1.47 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Two Sigma Securities, LLC (SOHO) | 0.99 | 0.78 | 5.00 | 0.00 | 1.20 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| MEMX (MEMX) | 0.81 | 0.00 | 0.00 | 16.46 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| STATE STREET GLOBAL MARKETS, L (PULX) | 0.62 | 1.18 | 0.00 | 0.00 | 0.13 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| INTL FCSTONE SECURITIES INC. (INTL) | 0.50 | 0.39 | 0.00 | 0.00 | 0.67 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

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|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| RBC Capital Markets Corporatio (DAIN) | | | | | | | | | | | | | |
| Two Sigma Securities, LLC (SOHO) | 1.14 | 1.06 | 2.47 | 0.00 | 1.44 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| MEMX (MEMX) | 0.67 | 0.00 | 0.00 | 15.20 | 0.14 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| UBS Securities LLC (UBSS) | 0.61 | 0.43 | 1.23 | 0.00 | 1.15 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

Citadel Securities LLC is an execution venue utilized by both AGP and our Clearing Firm to execute orders. AGP receives payment from Citadel Securities LLC for directing order flow to this venue in the amount of \$0.001 per share. AGP does not receive payment from Citadel Securities LLC when our clearing firm directs order flow to this venue.

Virtu Americas (NITE) (NITE):

VRTU Americas LLC is an execution venue utilized by both AGP and our Clearing Firm to execute orders AGP receives payment from VRTU Americas LLC for directing order flow to this venue in the amount of \$0.0015 per share. AGP does not receive payment from VRTU Americas LLC when our clearing firm directs order flow to this venue.

G1 Execution Services, LLC (ETMM):

G1 Execution Services LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from G1 Execution Services LLC when our clearing firm directs order flow to this venue.

Jane Street Capital, LLC. (JNST):

Jane Street Capital LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from Jane Street Capital LLC when our clearing firm directs order flow to this venue.

STATE STREET GLOBAL MARKETS, L (PULX):

State Street Global Markets, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from State Street Global Markets, LLC when our clearing firm directs order flow to this venue.

CBOE EDGX (EDGX):

CBOE EDGX US is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from CBOE EDGX US when our clearing firm directs order flow to this venue.

Nasdaq Execution Services, LLC (INET):

NASDAQ Execution Services LLC is an execution venue utilized by our Clearing Firm and Speedroute (Speedroute is a routing venue used by AGP/Alliance Global Partners to execute orders AGP receives payment for order flow in the amount of \$0.0003 per share plus or minus any exchange rebates/fees incurred from Exchanges used by Speedroute) to execute orders. AGP does not receive payment from NASDAQ Execution Services LLC when our clearing firm directs order flow to this venue. AGP does receive payment from Speedroute based on orders sent to this execution venue.

RBC Capital Markets Corporatio (DAIN):

RBC Capital Markets Corporation is an execution venue utilized by our Clearing Firm. Firm to execute orders. AGP does not receive payment from RBC Capital Markets Corporation when our clearing firm directs order flow to this venue.

Two Sigma Securities, LLC (SOHO):

Two Sigma Securities, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from Two Sigma Securities LLC when our clearing firm directs order flow to this venue.

MEMX (MEMX):

MEMX EXECUTION SERVICES LLC is an execution venue utilized by our Clearing Firm to execute orders AGP does not receive payment from MEMX EXECUTION SERVICES LLC when our clearing firm directs order flow to this venue.

UBS Securities LLC (UBSS):

UBS Securities, LLC is an execution venue utilized by our Clearing Firm to execute orders. AGP does not receive payment from UBS Securities, LLC when our clearing firm directs order flow to this venue.

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Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 43.11 | 0.00 | 47.52 | 9.37 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CES (CES1) | 36.81 | 50.93 | 0.00 | 23.10 | 41.43 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| WOLVERINE EXECUTION SERVICES, (WEXX) | 20.88 | 2.80 | 0.00 | 34.65 | 34.29 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| GEB (GEB1) | 14.73 | 31.06 | 0.00 | 2.82 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Dash Financial (DFIN) | 12.99 | 10.25 | 0.00 | 16.06 | 10.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Citadel Securities (CDRG) | 9.64 | 1.86 | 0.00 | 16.62 | 10.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| MORGAN STANLEY & CO. LLC (MSCO) | 4.55 | 3.11 | 0.00 | 6.20 | 2.86 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Susquehanna Capital Group (SUSQ) | 0.40 | 0.00 | 0.00 | 0.56 | 1.43 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |

Material Aspects:

CES (CES1):

Clearpool Execution Services is a routing venue used by our Clearing Firm AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firm National Financial Services (NFS) for execution AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services nor does it receive payments based on the subsequent routing decisions of NFS

WOLVERINE EXECUTION SERVICES, (WEXX):

Wolverine Execution Services LLC is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

GEB (GEB1):

Global Execution Brokers, LP is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

Dash Financial (DFIN):

Dash Financial Technologies LLC is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

Citadel Securities (CDRG):

Citadel Securities LLC is an execution venue utilized by both AGP and our Clearing Firm to execute orders. AGP receives payment from Citadel Securities LLC for directing order flow to this venue in the amount of \$0.001 per share. AGP does not receive payment from Citadel Securities LLC when our clearing firm directs order flow to this venue.

MORGAN STANLEY & CO. LLC (MSCO):

Morgan Stanley & Company LLC is a routing venue used by our Clearing Firm. AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.

Susquehanna Capital Group (SUSQ):

Susquehanna Financial Group is a routing venue used by our Clearing Firm AGP/Alliance Global Partners routes 100% of its option orders to it Clearing Firms National Financial Services (NFS) and RBC Capital Markets Corporation (RBC) for execution. AGP/Alliance Global Partners does not receive remuneration for routing option orders to National Financial Services and RBC Capital Markets Corporation (RBC) nor does it receive payments based on the subsequent routing decisions of NFS and RBC.