

CFGF - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Apr 28 2022 12:15:32 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2022

January 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

January 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

January 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq ISE (XISX)	31.58	0.00	0.00	0.00	31.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-726.5000	-2.5599
BOX Exchange (XBOX)	24.81	0.00	0.00	0.00	24.81	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3,800.1000	-6.5742
XPSE (XPSE)	21.80	0.00	0.00	0.00	21.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,766.8250	9.1460
CBOE Exchange (XCBO)	11.28	0.00	0.00	0.00	11.28	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-381.3175	-3.5284
MIAX Pearl, LLC (MPRL)	3.76	0.00	0.00	0.00	3.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-188.1000	-3.8776
Miami Intl Exchange (XMIO)	2.26	0.00	0.00	0.00	2.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-13.4000	-10.0000
Cboe BZX Options Exchange (BATO)	1.50	0.00	0.00	0.00	1.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-45.0000	-60.0000
C2 Options Exchange, Inc. (C2OX)	0.75	0.00	0.00	0.00	0.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-47.1700	-53.0000
Cboe EDGX Options (EDGO)	0.75	0.00	0.00	0.00	0.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.5400	-9.0000
MIAX Emerald, LLC. (EMLD)	0.75	0.00	0.00	0.00	0.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-10.2000	-60.0000

Material Aspects:

Nasdaq ISE (XISX):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

BOX Exchange (XBOX):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center.However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash").CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers.Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

XPSE (XPSE):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center.However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash").CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers.Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

CBOE Exchange (XCBO):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center. However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

MIAX Pearl, LLC (MPRL):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center. However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

Miami Intl Exchange (XMIO):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center. However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

Cboe BZX Options Exchange (BATO):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

C2 Options Exchange, Inc. (C2OX):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

Cboe EDGX Options (EDGO):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

MIAX Emerald, LLC. (EMLD):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

February 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
---------------------------------	-------------------------	-------------------	-----------------------------	---------------------------------	------------------	--	---	--	---	--	---	---	--

Material Aspects:

February 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq ISE (XISX)	47.83	0.00	0.00	0.00	47.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-351.5000	-3.3381
CBOE Exchange (XCBO)	43.48	0.00	0.00	0.00	43.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-187.4750	-3.8182
XPSE (XPSE)	8.70	0.00	0.00	0.00	8.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	558.5000	73.6807

Material Aspects:

Nasdaq ISE (XISX):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

CBOE Exchange (XCBO):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center.However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash").CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers.Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

XPSE (XPSE):

CF Global Trading, LLC does not have a profit - sharing arrangement with or receive payment for order flow from this venue / market center.However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash").CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers.Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

March 2022

S&P 500 Stocks

Summary

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
XPSE (XPSE)	50.57	0.00	0.00	0.00	50.57	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,391.1500	9.5684
BOX Exchange (XBOX)	24.14	0.00	0.00	0.00	24.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-919.1750	-3.2611
CBOE Exchange (XCBO)	20.69	0.00	0.00	0.00	20.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-220.5150	-6.4365
Cboe EDGX Options (EDGO)	2.30	0.00	0.00	0.00	2.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-12.0600	-13.5506
Nasdaq ISE (XISX)	2.30	0.00	0.00	0.00	2.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-45.0000	-5.0000

Material Aspects:

XPSE (XPSE):
CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue / market center. However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

BOX Exchange (XBOX):
CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue / market center. However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

CBOE Exchange (XCBO):
CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue / market center. However, the executions received from this venue / market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

Cboe EDGX Options (EDGO):
CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them

Nasdaq ISE (XISX):
CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them