

# CFGT - Held NMS Stocks and Options Order Routing Public Report

Generated on Tue Oct 31 2023 11:46:06 GMT-0400 (Eastern Daylight Time)

3rd Quarter, 2023

July 2023

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## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

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July 2023

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## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

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July 2023

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## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
BOX Exchange (XBOX)	43.62	0.00	0.00	0.00	43.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	234.1250	0.5771
CBOE Exchange (XCBO)	18.09	0.00	0.00	0.00	18.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-266.5550	-5.2658
Nasdaq ISE (XISX)	12.77	0.00	0.00	0.00	12.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-122.2500	-2.4598
XPSE (XPSE)	11.70	0.00	0.00	0.00	11.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,072.5350	17.0759
Cboe EDGX Options (EDGO)	4.26	0.00	0.00	0.00	4.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-25.0250	-6.5000
NYSE Mkt Llc (XASE)	3.19	0.00	0.00	0.00	3.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4.2000	-7.5000
Miami Intl Exchange (XMIO)	3.19	0.00	0.00	0.00	3.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-10.5000	-7.5000
Nasdaq PHLX (XPHO)	3.19	0.00	0.00	0.00	3.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-20.8500	-7.5000

### Material Aspects:

#### BOX Exchange (XBOX):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

#### CBOE Exchange (XCBO):

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#### Nasdaq ISE (XISX):

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#### NYSE Mkt Llc (XASE):

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#### Miami Intl Exchange (XMIO):

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## August 2023

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### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

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## August 2023

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### Non-S&P 500 Stocks

#### Summary

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0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

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## August 2023

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### Options

## Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

## Venues

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BOX Exchange (XBOX)	40.00	0.00	0.00	0.00	40.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	80.6500	0.1112
CBOE Exchange (XCBO)	20.00	0.00	0.00	0.00	20.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-40.0450	-15.4019
XPSE (XPSE)	17.14	0.00	0.00	0.00	17.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,856.6000	9.3996
Cboe EDGX Options (EDGO)	8.57	0.00	0.00	0.00	8.57	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-45.3050	-6.5000
NYSE Mkt Llc (XASE)	2.86	0.00	0.00	0.00	2.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-14.6250	-7.5000
Nasdaq OMX (XBXO)	2.86	0.00	0.00	0.00	2.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.5350	-53.5000
Miami Intl Exchange (XMIO)	2.86	0.00	0.00	0.00	2.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.9750	-7.5000
Nasdaq Options (XNDQ)	2.86	0.00	0.00	0.00	2.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	14.5000	72.5000
Nasdaq PHLX (XPHO)	2.86	0.00	0.00	0.00	2.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-62.7000	-7.5000

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### Nasdaq OMX (XBXO):

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### Nasdaq Options (XNDQ):

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## September 2023

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#### Summary

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0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

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## September 2023

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### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

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#### Material Aspects:

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## September 2023

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## Options

### Summary

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100.00	0.00	0.00	0.00	100.00

### Venues

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BOX Exchange (XBOX)	44.83	0.00	0.00	0.00	44.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	22.41	0.00	0.00	0.00	22.41	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-167.1250	-4.9888
XPSE (XPSE)	22.41	0.00	0.00	0.00	22.41	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,352.9750	24.0017
Nasdaq ISE (XISX)	6.90	0.00	0.00	0.00	6.90	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-657.9500	-2.5000
NYSE Mkt Llc (XASE)	1.72	0.00	0.00	0.00	1.72	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Nasdaq PHLX (XPHO)	1.72	0.00	0.00	0.00	1.72	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.4250	-7.5000

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