

# CFGT - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2023

October 2023

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## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

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October 2023

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## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

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October 2023

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## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
BOX Exchange (XBOX)	46.15	0.00	0.00	0.00	46.15	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Nasdaq ISE (XISX)	30.77	0.00	0.00	0.00	30.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-575.4500	-2.3345
NYSE Mkt Llc (XASE)	7.69	0.00	0.00	0.00	7.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3.8250	-7.5000
CBOE Exchange (XCBO)	7.69	0.00	0.00	0.00	7.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-11.3925	-7.7500
Nasdaq PHLX (XPHO)	7.69	0.00	0.00	0.00	7.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3.6000	-7.5000

### Material Aspects:

#### BOX Exchange (XBOX):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

#### Nasdaq ISE (XISX):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

#### NYSE Mkt Llc (XASE):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

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## November 2023

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## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq ISE (XISX)	25.00	0.00	0.00	0.00	25.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,279.1000	-2.5297
BOX Exchange (XBOX)	23.75	0.00	0.00	0.00	23.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	381.9750	0.3295
XPSE (XPSE)	23.12	0.00	0.00	0.00	23.12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,527.9750	1.8961
CBOE Exchange (XCBO)	14.37	0.00	0.00	0.00	14.37	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-946.4275	-3.0294
Cboe EDGX Options (EDGO)	5.00	0.00	0.00	0.00	5.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-33.5950	-5.3073
Miami Intl Exchange (XMIO)	5.00	0.00	0.00	0.00	5.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-114.3500	-6.7663
Nasdaq PHLX (XPHO)	1.88	0.00	0.00	0.00	1.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-12.7500	-2.8333
NYSE Mkt Llc (XASE)	1.25	0.00	0.00	0.00	1.25	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.7500	-7.5000
ISE Mercury Options (MCRY)	0.62	0.00	0.00	0.00	0.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-9.9000	-7.5000

### Material Aspects:

#### Nasdaq ISE (XISX):

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#### BOX Exchange (XBOX):

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#### XPSE (XPSE):

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#### Cboe EDGX Options (EDGO):

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#### Miami Intl Exchange (XMIO):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

#### Nasdaq PHLX (XPHO):

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#### NYSE Mkt Llc (XASE):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

#### ISE Mercury Options (MCRY):

CF Global Trading, LLC does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. However, the executions received from this venue/market center were for orders routed through Dash Financial Technologies LLC ("Dash"). CF Global Trading, LLC is party to Dash's standard Schedule of Transaction Fees for US broker dealers. Dash will pass along rebates it receives for executions and reduce the amount of transaction fees owed to them. Dash Financial Technologies LLCs most recent report pursuant to SEC Rule 606(a) is available at: <https://dashfinancial.com/rule-606/>

## December 2023

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### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

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## December 2023

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### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

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## December 2023

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

## Venues

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CBOE Exchange (XCBO)	48.84	0.00	0.00	0.00	48.84	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-717.6525	-2.0096
Nasdaq ISE (XISX)	23.26	0.00	0.00	0.00	23.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,346.3500	-2.5000
BOX Exchange (XBOX)	11.63	0.00	0.00	0.00	11.63	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-529.6000	-0.6037
XPSE (XPSE)	9.30	0.00	0.00	0.00	9.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,173.6000	6.0837
Cboe EDGX Options (EDGO)	2.33	0.00	0.00	0.00	2.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-13.5850	-6.5000
Miami Intl Exchange (XMIO)	2.33	0.00	0.00	0.00	2.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-29.9250	-7.5000
NYSE Mkt Llc (XASE)	1.16	0.00	0.00	0.00	1.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-18.9750	-7.5000
Nasdaq PHLX (XPHO)	1.16	0.00	0.00	0.00	1.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.3500	-7.5000

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