JONE - Held NMS Stocks and Options Order Routing Public Report

Generated on Wed Jul 17 2024 12:15:45 GMT-0400 (Eastern Daylight Time)

2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Net Payment Paid/ Received for Marketable Limit Orders(cents per	Net Payment Paid/Received for Non- Marketable Limit	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per
	(70)			(70)		Orders(OSD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(OSD)	hundred shares)

Material Aspects:

April 2024

Non-S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
Ì	0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed	Market Orders	Marketable Limit Orders	Non- Marketable	Other Orders	Net Payment Paid/Received	Net Payment Paid/Received for	Net Payment Paid/Received	Net Payment Paid/ Received for	Net Payment Paid/Received	Net Payment Paid/ Received for Non-	Net Payment Paid/Received	Net Payment Paid/Received for
	Orders	(%)	(%)	Limit Orders	(%)	for Market	Market	for Marketable	Marketable Limit	for Non-	Marketable Limit	for Other	Other
	(%)	` ′	, ,	(%)	` ′	Orders(USD)	Orders(cents per	Limit	Orders(cents per	Marketable Limit	Orders(cents per	Orders(USD)	Orders(cents per
							hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)		hundred shares)

Material Aspects:

April 2024

Options

Summary

 rected Orders of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.35	0.00	7.06	92.59

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE Exchange (XCBO)	10.98	0.00	0.00	0.00	11.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,364.8825	3.9936
NYSE ARCA Options (ARCO)	10.00	0.00	0.00	0.00	10.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,812.3800	13.8602
BOX Exchange (XBOX)	9.57	0.00	0.00	0.00	10.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,889.0300	7.6974
C2 Options Exchange, Inc. (C2OX)	8.92	0.00	0.00	0.00	9.63	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,368.8900	-15.3584
MIAX Emerald, LLC. (EMLD)	8.71	0.00	0.00	0.00	9.41	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	531.5800	5.3318
Nasdaq PHLX (XPH0)	7.76	0.00	0.00	0.00	8.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,169.2212	20.0483
Miami Intl Exchange (XMIO)	7.62	0.00	0.00	0.00	8.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	558.1137	1.6482
MIAX Pearl, LLC (MPRL)	7.11	0.00	0.00	0.00	7.68	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-11,470.7900	-46.1806
Nasdaq Options (XNDQ)	6.79	0.00	0.00	0.00	7.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-6,576.6700	-43.0890
Nasdaq ISE (XISX)	4.55	0.00	0.00	0.00	4.92	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,486.9600	16.2197
Dash Financial (DFIN)	3.80	55.19	0.00	49.90	0.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Cboe EDGX Options (EDGO)	3.21	0.00	0.00	0.00	3.47	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,056.7000	4.7983
ISE Mercury Options (MCRY)	3.21	0.00	0.00	0.00	3.46	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,041.6700	-8.6734

Material Aspects:

May 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

	Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)	
--	------------------------------------	-----------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--	--

Material Aspects:		

May 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Noi Non-directed Order Flow Direc Orde (%
--

Material Aspects:

May 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.15	0.00	5.16	94.69

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE Exchange (XCBO)	12.07	0.00	0.00	0.00	12.74	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,533.6075	9.4951
NYSE ARCA Options (ARCO)	9.47	0.00	0.00	0.00	10.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	4,771.9400	18.8928
Nasdaq ISE (XISX)	8.62	0.00	0.00	0.00	9.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,477.7767	6.4433
MIAX Emerald, LLC. (EMLD)	8.31	0.00	0.00	0.00	8.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	105.2200	0.7550
BOX Exchange (XBOX)	8.21	0.00	0.00	0.00	8.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,218.1600	9.8778
C2 Options Exchange, Inc. (C2OX)	8.00	0.00	0.00	0.00	8.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	176.5500	1.2151
Nasdaq PHLX (XPHO)	7.94	0.00	0.00	0.00	8.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,573.9525	21.5881
Miami Intl Exchange (XMIO)	7.76	0.00	0.00	0.00	8.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,737.4200	5.7103
Cboe EDGX Options (EDGO)	5.68	0.00	0.00	0.00	5.99	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	12,240.0175	14.7735

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
MIAX Pearl, LLC (MPRL)	4.67	0.00	0.00	0.00	4.93	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-7,084.4200	-38.1951
Nasdaq Options (XNDQ)	4.38	0.00	0.00	0.00	4.63	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-7,466.1900	-43.7284
NYSE American Options (AMXO)	3.35	0.00	0.00	0.00	3.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,417.1913	13.6610
RBC CAPITAL MARKETS, LLC (GELP)	3.22	54.47	0.00	56.75	0.22	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

June 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
0.00	0.00	0.00	0.00	0.00	

Venues

_														
	Venue -	Non-	Market	Marketable	Non-	Other	Net Payment	Net Payment	Net Payment	Net Payment Paid/	Net Payment	Net Payment Paid/	Net Payment	Net Payment
	Non-directed Order Flow	Directed	Orders	Limit Orders	Marketable	Orders	Paid/Received	Paid/Received for	Paid/Received	Received for	Paid/Received	Received for Non-	Paid/Received	Paid/Received for
		Orders	(%)	(%)	Limit Orders	(%)	for Market	Market	for Marketable	Marketable Limit	for Non-	Marketable Limit	for Other	Other
		(%)			(%)		Orders(USD)	Orders(cents per	Limit	Orders(cents per	Marketable Limit	Orders(cents per	Orders(USD)	Orders(cents per
		, ,			, ,		·	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)		hundred shares)

Material Aspects:

June 2024

Non-S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
1	0.00	0.00	0.00	0.00	0.00	

Venues

Venue - Non-directed Order Flow		irected Orde		Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
------------------------------------	--	--------------	--	---	------------------------	---	---	--	--	---	---	--	--

Material Aspects:		
	 . – – – – – – –	

June 2024

Options

Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
I	100.00	3.29	0.00	89.60	7.12

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
RBC CAPITAL MARKETS, LLC (GELP)	52.81	25.32	0.00	52.07	74.85	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial (DFIN)	45.69	72.15	0.00	46.63	21.64	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities LLC (UBSS)	0.58	0.00	0.00	0.65	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Goldman, Sachs & Co. (GSCO)	0.21	0.00	0.00	0.00	2.92	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CIEQ (CIEQ)	0.04	1.27	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Electronic Brokerage Systems, (DASH)	0.04	0.00	0.00	0.05	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JTCA (JTCA)	0.04	0.00	0.00	0.00	0.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects: