

# SPNN - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2023

October 2023

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## S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00                                   | 0.00                                      | 0.00  | 0.00  | 0.00                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
|                                 |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |

Material Aspects:

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October 2023

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## Non-S&P 500 Stocks

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|                                 |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |

Material Aspects:

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October 2023

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## Options

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Material Aspects:

## November 2023

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Material Aspects:

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## November 2023

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Material Aspects:

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Material Aspects:

## December 2023

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Material Aspects:

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## December 2023

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Material Aspects:

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## Options

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|                                 |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |

Material Aspects: