

XPUS - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2022

October 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	79.26	0.00	0.00	0.00	79.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	11.27	0.00	0.00	0.00	11.27	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CLEARPOOL EXECUTION SERVICES, (CPEM)	8.35	0.00	0.00	0.00	8.35	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	0.94	0.00	0.00	0.00	0.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets (RBCM)	0.11	0.00	0.00	0.00	0.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BAY CREST PARTNERS, LLC (BAYC)	0.06	0.00	0.00	0.00	0.06	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Citadel. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Citadel during this period was not greater than \$0.0020 per share.

CLEARPOOL EXECUTION SERVICES, (CPEM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Clearpool. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Clearpool during this period was not greater than \$0.0021 per share.

Lampost Capital, L.C. (LAMP):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Lampost. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Lampost during this period was not greater than \$0.0025 per share.

RBC Capital Markets (RBCM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from RBC. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from RBC during this period was not greater than \$0.0015 per share.

October 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	98.82	0.00	0.00	0.00	98.82	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CLEARPOOL EXECUTION SERVICES, (CPEM)	0.69	0.00	0.00	0.00	0.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	0.34	0.00	0.00	0.00	0.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lamost Capital, L.C. (LAMP)	0.09	0.00	0.00	0.00	0.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
OLMN (OLMN)	0.02	0.00	0.00	0.00	0.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FOG EQUITIES LLC (FOGS)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets (RBCM)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Capital Select Investments Cor (CSIN)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEBB (GEBB)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BAY CREST PARTNERS, LLC (BAYC)	0.00	0.00	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Goldman, Sachs & Co. (GSCO)	0.00	0.00	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Citadel. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Citadel during this period was not greater than \$0.0020 per share.

CLEARPOOL EXECUTION SERVICES, (CPEM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Clearpool. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Clearpool during this period was not greater than \$0.0021 per share.

Lampost Capital, L.C. (LAMP):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Lampost. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Lampost during this period was not greater than \$0.0025 per share.

RBC Capital Markets (RBCM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from RBC. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from RBC during this period was not greater than \$0.0015 per share.

Capital Select Investments Cor (CSIN):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from CSIN. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Credit Suisse during this period was not greater than \$0.02 per share.

Goldman, Sachs & Co. (GSCO):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Goldman Sachs. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Goldman Sachs during this period was not greater than \$0.0003 per share.

October 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
XP SECURITIES, LLC (XPUS)	40.18	0.00	0.00	0.00	40.18	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEGB (GEBB)	35.58	0.00	0.00	0.00	35.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities, Inc. (BCEX)	22.55	0.00	0.00	0.00	22.55	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BOX Exchange (XBOX)	1.07	0.00	0.00	0.00	1.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Nasdaq PHLX (XPHO)	0.61	0.00	0.00	0.00	0.61	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:**Bofa Securities, Inc. (BCEX):**

XPUS received Payment for Order Flow from BOA in an amount not greater than \$0.40 per contract.

November 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	78.32	0.00	0.00	0.00	78.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CLEARPOOL EXECUTION SERVICES, (CPEM)	15.43	0.00	0.00	0.00	15.43	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	5.32	0.00	0.00	0.00	5.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	0.49	0.00	0.00	0.00	0.49	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
New York Stock Exchange (NYSE)	0.40	0.00	0.00	0.00	0.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FOG EQUITIES LLC (FOGS)	0.03	0.00	0.00	0.00	0.03	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET EXECUTION SERVICES (JSEB)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Citadel. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Citadel during this period was not greater than \$0.0020 per share.

CLEARPOOL EXECUTION SERVICES, (CPEM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Clearpool. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Clearpool during this period was not greater than \$0.0020 per share.

Lampost Capital, L.C. (LAMP):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Lampost. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Lampost during this period was not greater than \$0.0025 per share.

November 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	98.87	0.00	0.00	0.00	98.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CLEARPOOL EXECUTION SERVICES, (CPEM)	0.78	0.00	0.00	0.00	0.78	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	0.22	0.00	0.00	0.00	0.22	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	0.07	0.00	0.00	0.00	0.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
New York Stock Exchange (NYSE)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
OLMN (OLMN)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BAY CREST PARTNERS, LLC (BAYC)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Goldman, Sachs & Co. (GSCO)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets (RBCM)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FOG EQUITIES LLC (FOGS)	0.00	0.00	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Capital Select Investments Cor (CSIN)	0.00	0.00	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Citadel. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Citadel during this period was not greater than \$0.0020 per share.

CLEARPOOL EXECUTION SERVICES, (CPEM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Clearpool. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Clearpool during this period was not greater than \$0.0020 per share.

Lampost Capital, L.C. (LAMP):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Lampost. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Lampost during this period was not greater than \$0.0025 per share.

RBC Capital Markets (RBCM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from RBC. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from RBC during this period was not greater than \$0.0021 per share.

November 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
GEBB (GEBB)	31.48	0.00	0.00	0.00	31.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities, Inc. (BCEX)	30.73	0.00	0.00	0.00	30.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	28.19	0.00	0.00	0.00	28.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Nasdaq ISE (XISX)	4.50	0.00	0.00	0.00	4.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	915.0000	1.6053
NYSE Mkt Llc (XASE)	1.20	0.00	0.00	0.00	1.20	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	1.20	0.00	0.00	0.00	1.20	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-50.0000	-0.8333
Chicago Board Options Exchange (CBOE)	0.90	0.00	0.00	0.00	0.90	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BOX Exchange (XBOX)	0.90	0.00	0.00	0.00	0.90	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Nasdaq PHLX (XPHO)	0.60	0.00	0.00	0.00	0.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	0.30	0.00	0.00	0.00	0.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Bofa Securities, Inc. (BCEX):

XPUS received Payment for Order Flow from BOA in an amount not greater than \$0.5 per contract.

Citadel Securities (CDRG):

XPUS received Payment for Order Flow from Citadel in an amount not greater than \$0.35 per contract.

December 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)													
CLEARPOOL EXECUTION SERVICES, (CPEM)	0.89	0.00	0.00	0.00	0.89	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	0.39	0.00	0.00	0.00	0.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	0.10	0.00	0.00	0.00	0.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
OLMN (OLMN)	0.04	0.00	0.00	0.00	0.04	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BAY CREST PARTNERS, LLC (BAYC)	0.02	0.00	0.00	0.00	0.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FOG EQUITIES LLC (FOGS)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets (RBCM)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEBB (GEBB)	0.01	0.00	0.00	0.00	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Goldman, Sachs & Co. (GSCO)	0.00	0.00	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
New York Stock Exchange (NYSE)	0.00	0.00	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Citadel. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Citadel during this period was not greater than \$0.003 per share.

CLEARPOOL EXECUTION SERVICES, (CPEM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Clearpool. Factors that contribute to Net Payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Clearpool during this period was not greater than \$0.002 per share.

Lampost Capital, L.C. (LAMP):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from Lampost. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from Lampost during this period was not greater than \$0.0025 per share

RBC Capital Markets (RBCM):

XPUS may receive a Net Rebate which is aggregated with exchange fees which it incurs and may result in a Net Payment received from RBC. Factors that contribute to net payments include but are not limited to adding or removing liquidity and execution venue. The maximum payment XPUS received from RBC during this period was not greater than \$0.0021 per share.

December 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
GEBB (GEBB)	41.60	0.00	0.00	0.00	41.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XP SECURITIES, LLC (XPUS)	40.80	0.00	0.00	0.00	40.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities, Inc. (BCEX)	13.40	0.00	0.00	0.00	13.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Nasdaq ISE (XISX)	1.60	0.00	0.00	0.00	1.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	450.0000	5.7049
Nasdaq PHLX (XPHO)	1.20	0.00	0.00	0.00	1.20	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BOX Exchange (XBOX)	0.80	0.00	0.00	0.00	0.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	0.60	0.00	0.00	0.00	0.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Bofa Securities, Inc. (BCEX):

XPUS received Payment for Order Flow from BOA in an amount not greater than \$0.45 per contract.