

XPUS - Held NMS Stocks and Options Order Routing Public Report

Generated on Fri Feb 02 2024 16:30:32 GMT+0530 (India Standard Time)

4th Quarter, 2023

October 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.37	0.06	0.04	1.53

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas (NITE) (NITE)	67.84	68.96	0.00	0.00	0.00	-23.4087	-7.1665	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET EXECUTION SERVICES (JSES)	10.37	10.54	0.00	0.00	0.00	-5.4045	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTX (HRTX)	10.00	10.16	0.00	0.00	0.00	-3.6997	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	6.42	5.12	100.00	100.00	83.78	-1.3102	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CANACCORD GENUITY INC. (ADAM)	1.92	1.95	0.00	0.00	0.00	-0.8122	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA (CODA)	1.86	1.89	0.00	0.00	0.00	-0.8415	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWARE (TWAR)	0.70	0.46	0.00	0.00	16.22	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	0.48	0.48	0.00	0.00	0.00	-0.1380	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL FCSTONE SECURITIES INC. (INTL)	0.41	0.42	0.00	0.00	0.00	-0.0750	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Virtu Americas (NITE) (NITE):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://apexfintechsolutions.com/wp-content/uploads/2022/09/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

JANE STREET EXECUTION SERVICES (JSES):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://apexfintechsolutions.com/wp-content/uploads/2022/09/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

HRTX (HRTX):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

Citadel Securities (CDRG):

XPUS pays or receives a net amount for execution services. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. During the period, XPUS paid a maximum of -0.0026 and receive a maximum 0.0021 per share.

CANACCORD GENUITY INC. (ADAM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CODA (CODA):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

G1 Execution Services, LLC (ETMM):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

INTL FCSTONE SECURITIES INC. (INTL):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

October 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	95.89	0.38	0.44	3.29

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas (NITE) (NITE)	62.01	64.66	0.00	0.00	0.00	-217.5268	-6.2059	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	12.31	9.24	97.30	100.00	80.37	-49.5980	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET EXECUTION SERVICES (JSES)	10.43	10.87	0.00	0.00	0.00	-41.0948	-5.4080	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTX (HRTX)	10.31	10.75	0.00	0.00	0.00	-63.7089	-5.9537	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CANACCORD GENUITY INC. (ADAM)	2.02	2.10	0.00	0.00	0.00	-6.4398	-7.1849	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWARE (TWAR)	1.33	0.79	0.00	0.00	17.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA (CODA)	0.79	0.82	0.00	0.00	0.00	-5.1993	-7.4983	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL FCSTONE SECURITIES INC. (INTL)	0.45	0.47	0.00	0.00	0.00	-5.3108	-5.9799	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	0.26	0.27	0.00	0.00	0.00	-0.5635	-7.4932	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CLEARPOOL EXECUTION SERVICES, (CPEM)	0.05	0.00	2.70	0.00	1.25	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Virtu Americas (NITE) (NITE):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

Citadel Securities (CDRG):
XPUS pays or receives a net amount for execution services. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. During the period, XPUS paid a maximum of -0.0026 and receive a maximum 0.0021 per share.

JANE STREET EXECUTION SERVICES (JSES):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

HRTX (HRTX):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CANACCORD GENUITY INC. (ADAM):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CODA (CODA):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

INTL FCSTONE SECURITIES INC. (INTL):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

G1 Execution Services, LLC (ETMM):
XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

October 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	51.67	1.09	43.46	3.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	27.10	39.15	13.04	14.90	6.72	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial (DFIN)	18.75	21.25	0.00	17.38	5.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Global Execution Brokers (GEBB)	3.89	6.75	0.00	0.91	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities Inc (MLCO)	1.46	1.63	2.90	1.13	2.52	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	1.08	2.09	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	0.67	0.00	0.00	0.00	17.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-118.9850	-13.5210
Nasdaq ISE (XISX)	0.51	0.00	0.00	0.00	13.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-58.2000	-0.6396
NYSE Mkt Llc (XASE)	0.48	0.00	0.00	0.00	12.61	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-39.1000	-0.1245
Nasdaq PHLX (XPHO)	0.30	0.00	0.00	0.00	7.98	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-40.8000	-1.7288
Miami Intl Exchange (XMIO)	0.19	0.00	0.00	0.00	5.04	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-13.2000	-2.5882

Material Aspects:

Citadel Securities (CDRG):

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
JANE STREET EXECUTION SERVICES (JSES)													
HRTX (HRTX)	9.64	9.74	0.00	0.00	0.00	-6.2947	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	4.02	3.20	100.00	100.00	73.58	-1.5803	-0.4620	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA (CODA)	2.33	2.35	0.00	0.00	0.00	-1.0530	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CANACCORD GENUITY INC. (ADAM)	1.86	1.88	0.00	0.00	0.00	-1.6395	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWARE (TWAR)	0.49	0.28	0.00	0.00	26.42	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	0.38	0.38	0.00	0.00	0.00	-0.3007	-7.5000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Virtu Americas (NITE) (NITE):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

JANE STREET EXECUTION SERVICES (JSES):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

HRTX (HRTX):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

Citadel Securities (CDRG):

XPUS pays or receives a net amount for execution services. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. During the period, XPUS paid a maximum of -0.0017 and receive a maximum 0.0020 per share.

CODA (CODA):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CANACCORD GENUITY INC. (ADAM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

G1 Execution Services, LLC (ETMM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

November 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.49	0.16	0.19	3.17

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas (NITE) (NITE)	64.34	66.68	0.00	0.00	0.00	-447.0766	-6.2167	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	11.95	9.13	100.00	100.00	88.42	-66.0496	-1.2009	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET EXECUTION SERVICES (JSES)	10.12	10.49	0.00	0.00	0.00	-156.1366	-4.7365	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTX (HRTX)	9.86	10.22	0.00	0.00	0.00	-128.5021	-5.9458	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CANACCORD GENUITY INC. (ADAM)	1.80	1.87	0.00	0.00	0.00	-15.4231	-4.4523	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA (CODA)	0.97	1.01	0.00	0.00	0.00	-6.6378	-7.3574	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWARE (TWAR)	0.52	0.36	0.00	0.00	5.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	0.24	0.25	0.00	0.00	0.00	-0.9555	-6.8544	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CLEARPOOL EXECUTION SERVICES, (CPEM)	0.16	0.00	0.00	0.00	4.93	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (RBCD)	0.03	0.00	0.00	0.00	0.99	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Virtu Americas (NITE) (NITE):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

Citadel Securities (CDRG):

XPUS pays or receives a net amount for execution services. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. During the period, XPUS paid a maximum of -0.0017 and receive a maximum 0.0020 per share.

JANE STREET EXECUTION SERVICES (JSES):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

HRTX (HRTX):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CANACCORD GENUITY INC. (ADAM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CODA (CODA):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

G1 Execution Services, LLC (ETMM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

November 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	53.48	0.00	40.40	6.12

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	26.01	33.99	0.00	17.74	10.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial (DFIN)	10.96	12.40	0.00	10.46	1.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	3.99	7.47	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities Inc (MLCO)	3.00	2.30	0.00	4.37	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Global Execution Brokers (GEBB)	2.15	2.87	0.00	1.52	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	1.00	0.00	0.00	0.00	16.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-37.7500	-5.0000
Nasdaq ISE (XISX)	0.90	0.00	0.00	0.00	14.64	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-57.3500	-5.0000
NYSE Mkt Llc (XASE)	0.51	0.00	0.00	0.00	8.37	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-12.1500	-0.0742
Nasdaq PHLX (XPHO)	0.46	0.00	0.00	0.00	7.53	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-15.0000	-0.7382
333 (3331)	0.26	0.00	0.00	0.63	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XPSE (XPSE)	0.15	0.00	0.00	0.00	2.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BOX Exchange (XBOX)	0.05	0.00	0.00	0.00	0.84	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS receives Payment for Order Flow from Citadel in a range of \$-0.01 - \$0.35 per contract.

Dash Financial (DFIN):

XPUS routes options orders to Dash Financial Technologies ("DASH") for execution and is charged transaction based fees. XPUS does not receive Payment for Order from Dash Financial.

Lampost Capital, L.C. (LAMP):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS receives Payment for Order Flow from Lampost on \$0.30 per contract.

Bofa Securities Inc (MLCO):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS received Payment for Order Flow from BOFA in a range of \$-0.52 - \$0.45 per contract.

Global Execution Brokers (GEBB):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS receives Payment for Order Flow from Susquehanna in a range of \$0.01 - \$0.25 per contract.

CBOE Exchange (XCBO):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

Nasdaq ISE (XISX):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

NYSE Mkt Llc (XASE):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

Nasdaq PHLX (XPHO):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

XPSE (XPSE):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

BOX Exchange (XBOX):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

December 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.58	0.08	0.01	1.33

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas (NITE) (NITE)	70.11	71.13	0.00	0.00	0.00	-48.2137	-7.2325	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTX (HRTX)	10.07	10.22	0.00	0.00	0.00	-6.4590	-7.4723	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET EXECUTION SERVICES (JSES)	8.11	8.23	0.00	0.00	0.00	-4.7812	-7.4659	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	5.76	4.40	100.00	100.00	100.00	-1.6964	-0.1591	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CANACCORD GENUITY INC. (ADAM)	2.00	2.03	0.00	0.00	0.00	-1.7804	-7.4868	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA (CODA)	1.87	1.89	0.00	0.00	0.00	-1.0913	-7.4849	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL FCSTONE SECURITIES INC. (INTL)	1.65	1.68	0.00	0.00	0.00	-0.7830	-7.4638	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	0.42	0.42	0.00	0.00	0.00	-0.2404	-7.4880	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Virtu Americas (NITE) (NITE):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

JANE STREET EXECUTION SERVICES (JSES):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

Citadel Securities (CDRG):

XPUS pays or receives a net amount for execution services. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. During the period, XPUS paid a maximum of -0.0020 and receive a maximum 0.0020 per share.

CANACCORD GENUITY INC. (ADAM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CODA (CODA):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

INTL FCSTONE SECURITIES INC. (INTL):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

G1 Execution Services, LLC (ETMM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

December 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.24	0.20	0.17	1.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas (NITE) (NITE)	65.48	66.66	0.00	0.00	0.00	-376.8328	-5.4220	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTX (HRTX)	11.52	11.72	0.00	0.00	0.00	-263.2376	-6.3394	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET EXECUTION SERVICES (JSES)	9.75	9.93	0.00	0.00	0.00	-292.8930	-6.8464	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities (CDRG)	7.64	5.98	100.00	100.00	100.00	-215.6249	-6.9067	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL FCSTONE SECURITIES INC. (INTL)	2.11	2.14	0.00	0.00	0.00	-24.6284	-5.7291	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CANACCORD GENUITY INC. (ADAM)	1.95	1.98	0.00	0.00	0.00	-69.8745	-6.6076	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA (CODA)	1.07	1.09	0.00	0.00	0.00	-8.5255	-7.2811	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	0.49	0.50	0.00	0.00	0.00	-1.9351	-1.8189	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Virtu Americas (NITE) (NITE):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

JANE STREET EXECUTION SERVICES (JSES):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

Citadel Securities (CDRG):

XPUS pays or receives a net amount for execution services. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. During the period, XPUS paid a maximum of -0.0020 and receive a maximum 0.0020 per share.

INTL FCSTONE SECURITIES INC. (INTL):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CANACCORD GENUITY INC. (ADAM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

CODA (CODA):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

G1 Execution Services, LLC (ETMM):

XPUS introduces accounts to Apex Clearing Corporation ("APEX") a FINRA registered Broker Dealer. APEX is responsible for routing customer orders and receives payment for order flow. XPUS receives 50% of the received payment for order flow from APEX. For additional information concerning the below exchanges please reference <https://live-apexv2-import.pantheonsite.io/wp-content/uploads/2023/11/Payment-for-Order-Flow-and-Order-Routing-Information-05-04-2022.pdf>.

December 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	48.64	0.00	48.46	2.90

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	19.99	30.07	0.00	10.00	17.91	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial (DFIN)	17.83	19.66	0.00	15.98	17.91	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities Inc (MLCO)	4.37	4.00	0.00	5.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Lampost Capital, L.C. (LAMP)	1.90	3.91	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Global Execution Brokers (GEBB)	1.82	2.67	0.00	1.07	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NYSE Mkt Llc (XASE)	0.30	0.00	0.00	0.00	10.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	0.30	0.00	0.00	0.00	10.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-12.5000	-5.0000
Nasdaq ISE (XISX)	0.30	0.00	0.00	0.00	10.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-15.0000	-5.0000

Material Aspects:

Citadel Securities (CDRG):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS receives Payment for Order Flow from Citadel in a range of \$0.00 - \$0.35 per contract.

Dash Financial (DFIN):

XPUS routes options orders to Dash Financial Technologies ("DASH") for execution and is charged transaction based fees. XPUS does not receive Payment for Order from Dash Financial.

Bofa Securities Inc (MLCO):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS received Payment for Order Flow from BOFA in a range of \$-0.73 - \$1.08 per contract.

Lampost Capital, L.C. (LAMP):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

Global Execution Brokers (GEBB):

XPUS receives rebates and pays fees from/to the routing of customer orders and such rebates may exceed the fees paid. The net amount is as an aggregate of exchanges fees and contributing factors from adding or removing liquidity. XPUS receives Payment for Order Flow from Susquehanna in a range of \$0.01 - \$0.45 per contract.

NYSE Mkt Llc (XASE):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

CBOE Exchange (XCBO):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.

Nasdaq ISE (XISX):

XPUS routes options orders to Dash Financial Technologies ("DASH") for agency execution and is charged transaction based fees. All orders sent to DASH are routed to US listed options exchanges for execution. XPUS is not party to the payment arrangements between DASH and the Options Exchange. Dash will pass along rebates received for executions and reduce the amount of transaction fees owed to them. When accessing the exchanges via DASH, XPUS may receive an indirect benefit by receiving enhanced exchange rates associated with DASH, and these rates are therefore reflected in net payments. For additional information concerning the below exchanges please reference <https://dashfinancial.com/rule-606/>.